4.



CERTIFICATE OF FACSIMILE TRANSMISSION

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Attorney Docket No. 1165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bruce et al.

Date:

May 21, 2002

Serial No.: 09/766,399

Group Art Unit:

1634

Filed:

January 19, 2001

Examiner:

Einsmann, J

For:

"Novel Plant Promoters and Methods of Use"

Assistant Commissioner for Patents Washington, D.C. 20231

Response to Restriction Requirement

This is in response to the Restriction Requirement issued March 21, 2002, in which the Examiner has required restriction between Group I (Claims 1-16), Group II (Claim 17), and Group III (Claim 18). The Examiner further requests the election of a single plant promoter sequence as listed in Claim 1 for examination. Applicants hereby elect with traverse to prosecute the claims of Group I, and Claim 1(c) sequence, and expressly reserve the right to file divisional applications or take other such appropriate measures to protect the inventions in the remaining claims. No change of inventorship is required due to this election of Group I.

The Examiner states that a single plant promoter from those listed in claim 1 must be selected for examination with whichever claim set is elected. The Examiner states that each of these promoter sequences is patentably distinct because they are unrelated sequences, i.e. these sequences do not share a common structure.

Serial No. 09/766,399 Group Art Unit: 1634

Applicants traverse the election of a single sequence under Claim 1. Applicants respectfully remind the Examiner that the provisions of 37 CFR 1.141 *et seq.* have been partially waived by the Commisioner. MPEP 803.04 states: "Absent evidence to the contrary, each...nucleotide sequence is presumed to represent an independent and distinct invention, subject to a restriction requirement pursuant to 35 U.S.C. 121 and 37 EFR 1.141 *et seq.* Nevertheless, to further aid the biotechnology industry in protecting its intellectual property without creating an undue burden on the Office, the Commissioner has decided *sua sponte* to partially waive the requirements of 37 CFR 1.141 *et seq.* and permit a reasonable number of such nucleotide sequences to be claimed in a single application." The MPEP goes on to state: It has been determined that normally ten sequences constitute a reasonable number for examination purposes. Accordingly, in most cases, up to ten independent and distinct nucleotide sequences will be examined in a single application without restriction."

Therefore, Applicants respectfully request that all sequences of Claim 1 be examined in a single application, and not be subject to restriction.

Respectfully submitted,

Jura A. Slewi

Debra L. Blair

Attorney for Applicants Registration No. 39,112

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GROUP 1600

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Debra L. Blair

PIONEER HI-BRED INTERNATIONAL, INC. CORPORATE INTELLECTUAL PROPERTY DEPARTMENT

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FROM:

Debra L. Blair, Registration No. 39,112

RE:

U. S. Patent Application No. 09/766,399; Attorney Docket No. 1165

Title: Novel Plant Promoters and Methods of Use

DATE:

May 21, 2002

FAX NUMBER: (703) 872-9306

NUMBER OF PAGE(S) FOLLOWING THIS SHEET: 4

COMMENTS:

Attached -

- Extension of Time Petition (2 pgs.)/1 copy
- · Response to Restriction Requirement (2 pgs.)/1 copy

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